

**Schools Forum
18 January 2017**

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SCHOOLS FORUM

Queens Park Community School

Wednesday 18th January 2017 18:00 – 20:00
(Refreshments from 17:30)

AGENDA

NO.	ITEM.	OWNER	TIME
	Introductions (if appropriate)		
	Apologies for Absence and Membership		18.00
1	Declarations of Interest	All	18:05
2	Minutes of Previous Meeting – 7 December 2016	All	18:10
3	Action Log and Matters Arising	All	18.15
4	Early Years Funding Update	Sue Gates	18:20
5	School Budgets 2017-18	Andrew Ward	18.40
6	Budget Proposals 2017/18 and 2018/19	Andrew Ward	19.00
13	AOB	All	19:10

Date of Next Meeting

Wednesday 8th February 2017 at Queens Park Community School

BRENT SCHOOLS FORUM

**Minutes of the Schools Forum held on
Wednesday 7th December 2016 at The Village School**

Attended by Members of the Forum:**Governors:**

Martin Beard (MB)
Helga Gladbaum (HG)
Mike Heiser (MH) - Chair
Jo Jhally (JJ)
Sue Knowler (SK)
Titilola McDowell (TM)
Narinder Nathan (NN)
Umesh Raichada (UR)

Head Teachers:

Rose Ashton (RA)
Lesley Benson (LB)
Martine Clark (MC)
Kay Charles (KC)
Rabbi Yitzchak Freeman (YF)
Rachel Kitley (RK)
Gerard McKenna (GM)
Desi Lodge Patch (DLP)
Andy Prindiville (AP)

PRU:

PVI Sector: Paul Russell (PR)

Trade Unions: Lesley Gouldbourne (LG)

14-19 Partnership:**Lead Member (C&YP):****Officers:**

Gail Tolley (GT)
Brian Grady (BG)
Andrew Ward (AW)
John Holden (JH)
Devbai Patel (DP)
Sandra Bingham (SB)
John Galligan (JG)
Sue Gates (SG)
Shirley Parks (SP)

Others:

Sotira Michael – Observer

ITEM DISCUSSION**i. Introductions**

The Forum commenced at 6.10pm.

Everyone introduced themselves.

ii. Apologies

Herman Martyn
Troy Sharpe
Cllr Wilhelmina Mitchell Murray

iii. Absence

Melissa Loosemore
Danny Coyle

iv Membership

DP reported that three vacancies remain. These are for a EY PVI representative, a Nursery School Governor, and a 14-19 Partnership. Officers are continuing to seek nominations to fill the vacancies.

1 Declarations of Interests

1.1 None

2 Minutes of the meeting held on 21st September 2016 and Matters Arising

2.1 'Gerard' was incorrectly spelt under Apologies.

2.2 Paragraph 6.7 – 'Brent' was incorrectly spelt.

2.3 The above corrections were noted and the minutes were approved as an accurate record.

3.0 Action Log and Matters Arising

3.1 Paragraph 8.4 – GM asked if an explanation could be provided on the IDACI changes. AW said this was covered in the agenda item 12 and could be dealt then.

4.0 Update on High Needs Funding of the DSG

[The report was for information](#)

4.1 SB presented this report. She reported that both the National Fairer Funding and High Needs Block funding consultations are due imminently. There will be detailed work on the High Needs consultation carried out by the established High Needs Task and Finish Group in the New Year. There has been significant growth in the number of children with High Needs, this has meant that 754 of the planned 756 places are currently occupied. Alongside this the current number of children with statements of SEN or EHCPs is likely to outstrip the existing forecast growth trajectory to 2020. Because of this it is becoming necessary to send children out of borough.

4.2 However, Brent's policy to make places available locally continues to be pursued. A new Free Special School is due to open in Brent once a suitable site is confirmed by the EFA, but in the meantime there are pressures and continue to be so going forward. She referred to Appendix 1 based on current year's allocations. This will change once the consultation comes out.

4.3 KC asked what the LA is doing with regard to the following:

- *Unpicking and clarifying post 16 funding and associated provision.*
- *Managing the number of placements in out of borough settings.*
- *Managing the alternative provision offer across PRUs and special provisions.*

SB said that these are issues the Task and Finish Group intends to address.

4.4 LB queried how early years provision sits within the budgets contained in the report. The two High Needs units at nursery schools do not seem to appear in the High Needs block. Also excluded are the Children in Need (CIN) and Children with Difficulties (CWD) services. A clarification was sought on how the two nursery schools' Additional Resourced Units (ARP) units were funded. AW said that in the Section 251 return the Early Years central spend sits separately in line 1.3.1, but that he expected the ARPs to be within the High Needs Block budgets presented, but agreed to check and clarify for the minutes.

(Post meeting note: The place funding for the ARP units at Granville Plus and Fawood Nursery Schools, is budgeted for in the High Needs Block under line 1.0.1, the top up funding is budgeted for in the High Needs Block under line 1.2.1. Andrew Ward, 12/12/2016)

4.5 **The report was noted.**

5.0 **De-Delegation 2017-18 – Free School Meals Eligibility Assessment**

[This report was for Decision.](#)

- 5.1 AW was asked to explain the purpose of de-delegation. He said that the de-delegation allows maintained schools to contribute to a central pot from which the service can be provided. It is allocated in the funding formula to individual schools and then taken back to hold centrally. It saves individual schools an administrative burden and allows the LA to continue with the service arrangements that are already in place.
- 5.2 SP presented this report. She said this item will be familiar to Schools Forum members. The LA carries out the eligibility checks for free school meals and for the pupil premium. The service sits within the Council's Admissions Team. The funding required is £27,750 which is the same as last year. The budget is not increasing but officers are trying to work more efficiently to contain the service within the existing resource.
- 5.3 RA asked whether the historic backlog in the FSM checks was now cleared. SP said that officers are ensuring that there is no backlog, and would look to other resources if one did occur.
- 5.4 MC said schools do carry out some checks and was concerned if there was a duplication of work. SP confirmed that these were additional checks.
- 5.5 MH invited maintained school representatives to vote on the recommendation. Maintained primary schools voted as follows:
- **Favour – 7**
 - **Abstention – 0**
 - **Against - 0**
- 5.6 There was no maintained secondary school representative present. It was therefore agreed to obtain confirmation by email.
- 6.0 De-Delegation 2017-18, Maternity, Licenses & Trade Union Facilities Funding**
[This item was for decision.](#)
- 6.1 AW presented this report. He reminded members that the de-delegation applies to maintained schools only. Academies, nursery and special schools also have the option to buy into maternity and trade union facilities as a traded service. All schools and academies are covered for the copyright licenses under the DfE's blanket cover. For Brent this is estimated at £195k which is top sliced from the LA's DSG allocation. In addition the Local Authority (LA) purchases British Pathe and CLEAPSS licences for which a de-delegation is requested. RU asked if the rates were the same as last year and this was confirmed.

6.2 MC asked if there was an option to purchase maternity cover privately and if there was an option to opt out of the trade union scheme. It was also asked how the Trade Union funding contributes to pupil outcomes. AW said yes the services could be purchased if not de-delegated. LG responded on the Trade Union question and said that the staff meet to draw up policies together. The policies are designed to help staff work as smoothly as possible and to develop teachers. It also helps with capability and sickness issues. Recently a Free School has signed up for this as well. MC said it would be good to see this included in the report. LG said this was circulated last year and will provide a copy to be included with the minutes of this meeting. HG asked if there was an option to opt out of any of the licenses and AW replied that this was not possible as they are packaged by the DfE and they have to be accepted as they are.

6.3 Representatives of the maintained primary schools were asked to vote on each of these de-delegations and it was agreed as follows:

- Maternity Grant
 - **Favour – 7**
 - **Abstention – 0**
 - **Against - 0**

- Trade Union Facilities Funding
 - **Favour – 7**
 - **Abstention – 0**
 - **Against - 0**

- Licences
 - **Favour – 7**
 - **Abstention – 0**
 - **Against - 0**

It was agreed to obtain an email response from the secondary school representative.

7.0 **De-Delegation 2017-18, Schools Causing Concern Budget** [This report was for decision.](#)

7.1 JG presented this report. He thanked colleagues for funding this service last year. The funding supported schools to address weaknesses and is mainly used to fund peer support from other schools. In 2015-16, 13 schools with Rapid Improvement Groups (RIG) secured improvement. Eight of these had follow up inspections and have all been judged good by Ofsted. Because of the increased level of improvements in the schools, and consequent drop in demand, it is proposed the budget is reduced by £50k for 2017-18.

- 7.2 HG asked how many schools are currently causing concern. JG said there are 5 including one with an Interim Executive Board (IEB). In addition three schools are concerning but are not requiring regular Rapid Improvement Groups.
- 7.3 AP reported that Governors at St Mary's RC School have asked him to thank JG and his team for all the support they have provided. Because of good leadership and the efforts of the governing body the school has secured improvement.
- 7.4 LG said that primary and secondary schools should acknowledge and celebrate this, and commended JG and his team. Some years ago the LA used to write to schools and staff congratulating them for their achievements and she thought this was the ideal opportunity to do so again. GT said she would take this back to the elected members.
- 7.5 SK asked what level of financial support is provided to schools in managing their budget. JG said that the funding is delegated to schools. The LA do intervene and arrange other head teachers to support where required, as they have more experience managing these budgets. School to school support has been found to be more effective. MC said it was a credit to JG and his team, and a creative way of addressing concerns. LB said that years ago schools were asked to attend council offices and were congratulated and thanked personally for improvements. GM said that he has worked with JG's team and found their support incredibly useful, and he thanked him for the work that was put in.
- 7.6 Representatives of the maintained primary schools were asked to vote on this de-delegation and it was agreed as follows:
- **Favour – 7**
 - **Abstention – 0**
 - **Against - 0**

It was agreed to obtain an email response from the maintained secondary school representative.

8. Pupil Growth Budget 2016-17 and Request for 2017-18 Funding [This report was for decision](#)

- 8.1 SP presented this report. In 2016-17 £3.5m was agreed by the Schools Forum for the growth fund. This is used for school expansions, and additional provisions for new arrivals and infrastructure. The forecasted spend for 2016-17 is £2.7m. SP was confident that £2.5m would be sufficient in 2017-18. The majority of the permanent expansion required has now been completed, however where schools have expanded it was agreed to guarantee or underwrite funding for them for the first 5 terms.

- 8.2 RA said she has a fear that pupil numbers are falling at her school. They have vacancies for 17 nursery places. NN agreed that that is the case with the school where she is a governor, and that there is high mobility. SP said that there are still pressures in Year 3 and that she was aware of vacancies in Reception. The LA's strategy is to retain 5% vacancies and currently these are recorded at 2.5%.
- 8.3 BG said he would take an action away to see how school held intelligence on demand for school places and demographic projections could be better shared.
- 8.4 LB asked how the CAFAI funding worked. SP responded that the arrangements were set out on a service level agreement, but that this should now be a contract as the provider is an academy, and so commissioned through a procurement strategy. Initial work is being carried out with secondary heads. UR asked if the funding is ring-fenced. SP said that funding was time limited to each financial year.
- 8.5 The following recommendations were voted on:
- a Approve pupil growth funding for additional classes and places needed for 2017/18 at the projected expenditure of £2,500,000.
 - b Approve Rising Rolls funding for financial year 2017/18 of £1,129,952 at the same funding level as for 2016/17.

Favour – 17
Abstention – 1
Against - 0

9. **Early Years National Funding Formula – Possible Implications for Brent**

[This report was for information](#)

- 9.1 SG presented the report. The Early Years Task and Finish Group will meet in January and an updated report will be brought to the January Schools Forum. The funding that can be retained centrally will be reduced to 7% next year and 5% in the following year. The outcome from the consultation for maintained nursery schools is that the supplementary funding is secured for at least 2 years and at a slightly higher amount than previously indicated. The Early Years block of funding will be ring-fenced. This would result in CIN and CWD provisions moving to be funded from the High Needs block.
- 9.2 Illustrative figures were presented in the report but SG stated that these figures are consistent with the consultation response. A Nursery school lump sum amount will be protected in this parliament. The deprivation supplement was debated at the Task and Finish

group and was agreed at 10% which is less than the amount currently allocated at 15%. Two Task and Finish Groups have taken place. A key item at the next Task and Finish Group is to allocate true figures to providers.

- 9.3 Some providers have raised concerns about losing the Quality Improvement and Inclusion Teams. It is hoped that staff will be retained in the first year but this may be difficult in the second year.
- 9.4 The funding for 2 year olds is funded by the DfE at £5.92 per hour and Brent funds providers at £6.00 per hour. This is one of the areas under pressure in the DSG. There has been local disappointment with the DfE rate and some providers have said they may withdraw providing places if it were to fall below £6.00.
- 9.5 HG referred to the appendices which were the LA and London Council (LC) responses and she asked if LC's response was considered in drafting Brent's response. GT said that Brent's response was prepared after LC's response came out, and that this was raised at the last meeting, and she referred to item 6.1 of the minutes.
- 9.6 LB said that the supplements will need to be revisited at the next Task and Finish Group following the consultation response as there are further options. Nursery schools lump sum protection is less than current arrangements but at least the DfE have acknowledged the need. She was concerned if these will be sustainable. SG said finance colleagues are working on modelling so the impact will be known and will be included in the January's report. LB was also concerned that the funding for very vulnerable children will be lost and these are the children who would otherwise be in special schools. SG said that they could be funded from the balance of Pupil Premium but this would only be a short term solution.
- 9.7 SG stated that LB's email would be responded to in the January report.
- 9.8 The following **recommendation was noted:**

The Schools Forum is requested to note the contents of this paper as initial information. The Local Authority will provide an updated report as the response to the national consultation is received from the Department for Education and the local consultation completed on Brent's local early years formula later in autumn 2016. The report reflects the work of the task and finish sub-group of the Schools Forum.

10. Decision on Funding Current Full Time Nursery Places from September 2017

[This report was for decision](#)

- 10.1 SG presented this report. She said one of the pressures on DSG is the currently funded additional full time places to families entitled to free school meals and who live within the Borough. This full time provision has never been offered to PVI providers. The consultation response does allow LAs to make a disapplication to continue to fund full time places in this way, but the funding for this has to be contained from within the overall Early Years DSG allocation. This therefore means that the other funding distributions would have to be reduced.
- 10.2 LB said it would have been really helpful if the DfE had not given an option as then there would not then be a need for any controversial discussions. The children affected are needy children as evidenced by them getting pupil premium. Without the funding their outcomes would be very different. This was a very difficult decision to make in view of the government's conflicting priorities. It was a genuine dilemma for Schools Forum.
- 10.3 PR said funding additional hours would be an unfair decision, and limit funding to PVI's. PVI providers will have to make redundancies. It may not be viable for some providers to continue to provide places.
- 10.4 SK suggested taking a different view. What happened to vulnerable children in the past? Should there be additional resourced provisions for children that are eligible for free school meals and who have special educational needs?
- 10.5 SG said additional funding already goes to schools for this. There are only 204 children currently accessing the FSM 30 hour and it's not equitable at all. How would the children be selected? The pilot for free 30 hours for working families indicated that the biggest take-up was from vulnerable families. PR said only 204 pupils are benefiting whereas around 98% of children will received a reduction in funding. LB said it would be helpful to know what impact this will have on the base rate. HG asked LB to come up with a proposal and she would support her.
- 10.6 LB said that some primary schools are funding all children full time and this is having an impact on nursery schools and PVI providers.
- 10.7 The submission for disapplication is 31st December 2016. SG said it should be noted that disapplications will only be granted in exceptional circumstances and only extend arrangements for a certain period.

10.8 BG said whatever decision is made, the DSG has to balance. If there was an alternative process, the funding would still need to be identified.

10.9 It was recommended that the current arrangements for funding full time places for Brent children who would qualify for FSM cease from September 2017. All members were invited to vote:

Agreed – 1

Against – 3

Abstentions - 10

10.12 The recommendation was therefore defeated. The Early Years Task and Finish Group meeting is not meeting before the disapplication needs to be made. SK said the problem with abstentions is that the agreement is reached without knowing what else can be done for the vulnerable children. GT clarified that the Schools Forum has voted not to cease the free 30 hours. There is no additional resources or other sources of funding and that it will have to have an impact on the 15 hours funding rates.

11. **Update on the Implementation of the 30 Hours Childcare**

[This report was for information](#)

11.1 SG presented this item. This report was brought to Schools Forum to provide a further update on the implementation of 30 hours childcare from September 2017. Officers are currently looking at an online system. A pilot is being set up and developed for parents to use at a variety of settings, which is due to start in January.

11.2 The recommendation was to note the report and **this was noted**. Further update will be provided in February or June Forum.

12. **Schools Funding Formula 2017-18**

[This report was for decision](#)

12.1 AW presented the report. He said the arrangements for 2017-18 remained largely unchanged due to the new national fair funding formula being postponed by a year. There was one technical issue which related to the 2015 IDACI update and resulted in a drop in the recorded deprivation levels. However this was not felt to be a true reflection. Further details on this will be provided with the minutes as requested by GM under matters arising. Because of these changes there was turbulence in funding with the Minimum Funding Guarantee (MFG) compensating for the drop in deprivation funding.

12.2 The MFG is calculated year on year and this leads to some schools losing funding again in 2017-18. A modelling exercise with the same data as for 2016/17 was carried out and this resulted in £1.5m decrease in allocations. A further modelling exercise was carried out

which allocated the remaining £1.5m to ensure that it did not disappear from the Schools Block of funding. This was allocated by increasing pupil funding factors which was reviewed and agreed by the Task and Finish Group.

- 12.3 The Education Service Grant (ESG) is reduced. Some of the former ESG is being put back into the DSG and then into the funding formula and this was agreed by Task and Finish Group. The DfE recognises that local authorities will need to continue to provide retained services and have allowed for Schools Forums to agree a top slice in support of central services listed in the report.
- 12.4 Final funding announcements are due before Christmas and these will be brought to Schools Forum in January for consultation and approval where required.
- 12.5 MH asked MC if she had any questions because she had asked him for clarification in an email prior to this Forum. MC did not have any questions as most of them were answered during the Forum. GM asked if the IDACI bandings were national. AW replied as yes and added that when modelling this with the 2015 update compared to the 2010 version, it left £1.5m unallocated. GM also asked if 1.5% MFG was operating on one year basis. AW said it has been consistent in the recent past, but was currently only known for 2017-18 as the new funding formula comes out in 2018-19.
- 12.5 The recommendations were put forward for approval and were **agreed unanimously**.
- 12.6 The following additional recommendation was put forward to maintained school representatives:

Agree to the Local Authority retaining the ESG allocations for maintained schools in order to continue to support education services provided by the Local Authority.

This was also **agreed unanimously by maintained school representatives**.

13. AOB

- 13.1 MH asked for a report on the Apprenticeship Levy and asked if it could be brought to January Forum. GT said it will be brought to the February Forum. The Corporate Management Team (CMT) is reviewing it at their meeting in the New Year, so it will be more appropriate to bring it after it has been to CMT.
- 13.2 AW said that Schools Forum Induction training will be provided to members, particularly new members. If any members were

interested, they should email DP. This will be arranged to take place in the New Year.

The Forum ended at 20:05.

DRAFT

ACTION LOG

Item No.	Action	Due	Owner
1	Wembley Learning Zone - To provide a breakdown of expenditure of the £88k allocation for 2016-17 and details of other income generated especially charitable. To list criteria for the free 6 week multi-sport programme and confirm the 10 Brent schools who participated.	Feb 17	SB
2	BG said he would take an action away to see how school held intelligence on demand for school places and demographic projections could be better shared.	Feb 17	BG
3	Which block are the two nursery school ARP units funded from.	Jan 17	AW
4	Obtain e-mail response on the following from the maintained secondary Head teacher. <ul style="list-style-type: none"> • De-delegation, Free School Meals Eligibility Assessment • De-delegation, Maternity, Licenses & Trade Union Facilities Funding • De-delegation, Schools Causing Concerns Budget 	Jan 17	AW
5	Details of Trade Union Support to staff and how the funding helps pupil's outcome.	With Jan 17 Minutes	LG



Brent

SCHOOLS FORUM

18th January 2017

Report from the Strategic Director of Children
and Young People

For Consultation

5: Early Years National Funding Formula

1.0 INTRODUCTION

- 1.1 The government has completed a consultation on an early years national funding formula which aims to allocate funding for the three- and four-year-old entitlement. Planned reforms that were consulted on include both the existing universal 15 hour entitlement and the new 30 hour entitlement for working parents, on a formulaic basis for the first time. It will commence, for the existing 15 hours, in April 2017 alongside the funding rate uplift announced in the last Spending Review. It will also apply to the additional 15 hours, in September 2017, when 30 hours of free childcare for working parents is implemented nationally.
- 1.2 The proposals aim to provide reform in both the way funding is distributed from central to local government and how this funding is passed on to providers of the free entitlement.

2.0 RECOMMENDATIONS

- 2.1 The Schools Forum is being consulted on the formula to be used to distribute the funding for Early Years to providers. This formula has been considered by a task and finish sub-group of the Schools Forum.
- 2.2 The Schools Forum is asked to adopt the recommendation of the Task and Finish Group for the Early Years funding formula.
[Voting is open to all members of the forum](#)

3.0 BACKGROUND

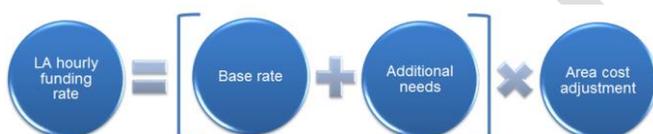
- 3.1 The Schools Forum was informed of the issues related to Early Years National Funding Formula in December 2016.
- 3.2 The Schools Forum is reminded that Brent will receive reduced funding for Early Years provision in 2017-18 as a result of the new national funding formula and that funding will be ring fenced.
- 3.3 In summary the elements of the formula to consider are:

- (a) a universal base rate for Brent providers for 3 and 4 year old funding;
- (b) an allocation for deprivation for 3 and 4 year old funding;
- (c) a decision around funding additional 15 hour places for 3 and 4 year olds based on local eligibility criteria (free school meals) – unfunded by the DfE allocation;
- (d) additional resources for maintained nursery schools;
- (e) a base rate for Brent providers for 2 year old funding;
- (f) a cap on centrally retained funding by the Local Authority.

4.0 FUNDING FROM CENTRAL GOVERNMENT TO THE LOCAL AUTHORITY

4.1 The DfE will use three factors that determine the funding per child that each local authority receives:

- i) a universal base rate of funding for each child;
- ii) an additional needs factor, reflecting the extra costs of supporting children with additional needs to achieve good early learning and development outcomes; and
- iii) an area cost adjustment, reflecting the different costs of providing childcare in different areas of the country.



4.2 The DfE issued a draft allocation to Brent based on January 2016 census numbers of children. The final allocation will be based on both January 2017 census (5/12) and January 2018 (7/12).

4.3 Using the draft allocation to Brent, assuming the same number of children across each year, the key figures are:

3 and 4 year olds	2016-17	2017-18	2018-19
Universal 15 hours allocation	£17.8m	£16.9m	£16.4m
Additional 15 hours allocation ¹	n/a	£1.82m	£1.77m
Hourly rate before central spend ²	£5.83	£5.54	£5.37
Maximum percentage for central spend	n/a	7%	5%
Hourly rate after central spend removed	n/a	£5.15	£5.10
Maximum percentage for additional needs	n/a	10%	10%
Maintained Nursery Schools Supplementary Funding	n/a	£836k	£836k
Reduction in hourly funding	n/a	5%	3%
2 year olds			
Hourly rate for 15 hours allocation	£5.53	£5.92	£5.92

¹ Figures are for part year Sept to April in 2017-18 so 2018-19 shows similar for comparison

² 2016-17 is based on 2016-17 re-baselined allocations

5.0 AN ALLOCATION FOR DEPRIVATION FOR 3 AND 4 YEAR OLD FUNDING

- 5.1 The DfE have mandated that a maximum of 10% can be allocated for deprivation factors in the local Brent redistribution of funding.
- 5.2 In 2016-17 Brent allocated £2.3m on the basis of deprivation to early years providers. The maximum 10% is equivalent to approximately £1.5m, so a reduction of £800k will be allocated on this basis. This reduction equates to a 26p reduction in the hourly rate given for deprivation which is then factored into the base rate for all providers.
- 5.3 It is recommended that the maximum 10% is used to distribute to providers for deprivation factors. IDACI will be used as the method to determine deprivation.
- 5.4 It is recommended not to utilise the other discretionary supplements available in the EYNFF for 2017-18 (rurality/sparsity, flexibility, quality, English as an additional language) but to note this can be revisited in the future.
- 5.5 The task and finish group are in general support of this proposal although some members made an argument for 7.5% distribution.

6.0 A UNIVERSAL BASE RATE FOR BRENT PROVIDERS FOR 3 AND 4 YEAR OLD FUNDING

- 6.1 Brent will **receive** funding for 3 and 4 year olds from the DfE on a reducing basis. The reduction is capped at 5% in the first year and then reduced again until it reaches an overall hourly rate of £5.37 including allocations for deprivation. After removing central spend, this results in an overall hourly rate **received** from the DfE of £5.10 (which includes allocation for deprivation).

3 and 4 year olds funding received	2016-17	2017-18	2018-19
Hourly rate before central spend ³	£5.83	£5.54	£5.37
Maximum percentage for central spend	n/a	7%	5%
Hourly rate after central spend removed	n/a	£5.15	£5.10

- 6.2 Brent will then **distribute** this funding after the reduction for central spend to providers as a universal base hourly rate and after allocation for deprivation. The universal base rate proposed to **distribute** to providers in 2017-18 is £4.59 (compared to £3.73 distributed in 2016-17).

³ 2016-17 is based on 2016-17 re-baselined allocations

Overall hourly rate received from DfE	£5.37
Remove central spend (95% of £5.37)	£5.10
Reduce by allocation for deprivation (£5.10 x 90%)	£4.59

Across different providers, the base rate and deprivation allocation averages as follows:

Provider type	Funding	Average hourly rates	
		2016-17 £	2017-18 £
PVI	Base	3.73	4.59
	Deprivation	0.72	0.43
		<u>4.45</u>	<u>5.02</u>
Primary	Base	3.73	4.59
	Deprivation	0.78	0.61
		<u>4.51</u>	<u>5.21</u>
Nursery Schools	Base	3.73	4.59
	Deprivation	0.82	0.45
		<u>4.55</u>	<u>5.05</u>

- 6.3 Since the funding to Brent is reducing year-on-year, it is recommended to redistribute funding at the base rate of £4.59. This is recommended:
- so that providers are not asked to take a further reduction in 2018-19;
 - because £4.59 plus allocation for deprivation represents an increase in base funding for most providers.

The small difference in funding in 2017-18 is then proposed to remain as contingency for 2017-18 Early Years pressures.

The task and finish group agreed with this recommendation.

7.0 FUNDING ADDITIONAL 15 HOUR FREE PLACES FOR 3 AND 4 YEAR OLDS BASED ON LOCAL ELIGIBILITY CRITERIA (FREE SCHOOL MEALS)

- 7.1 Schools Forum were presented with a paper in December 2016 outlining how Brent currently funds approximately £0.4m to specific maintained schools and maintained nursery schools to provide some children for 15 additional hours based on their eligibility for free school meals. In 2016/17, approximately 150 children received these extra hours.
- 7.2 Brent does not fund all children who are eligible for free school meals – for example, it does not fund any children at PVIs who may be eligible for free school meals. It has been calculated that 975 current nursery users across the sectors are eligible.

- 7.3 There is no allocation of funding for this criteria under the new formula. Funding is available for additional hours for working adults.
- 7.4 Schools Forum asked Brent Council in December 2016 to request that the DfE disapply the regulations that will require Brent to pass through 93% of funding based on the formula criteria. If this is allowed by the DfE, then Brent will need to reduce the overall hourly rate to providers to create the funding to redirect to those providers that currently support these children.

Reductions in rates based on draft allocations are as follows:

3 and 4 year olds	2017-18
Hourly rate to distribute to providers	£5.10
Reduction in hourly rate to provide funding for local eligibility criteria	£0.14
Reduced hourly rate	£4.96

- 7.5 Schools Forum recommended that, if the DfE allow the disapplication for 2017-18, the reduced overall hourly rate for all providers including the allocation for deprivation is on average £4.96.

The task and finish group noted this and recommended that this is revisited for 2018-19.

8.0 ADDITIONAL RESOURCES FOR MAINTAINED NURSERY SCHOOLS

- 8.1 Maintained nursery schools have been allocated additional transitional funding for the duration of the current parliament (supplementary funding). In 2017-18 this is £836k. Brent currently redistributes additional funding in the form of lump sums and other grants to maintained nursery schools. The transitional funding is less than the current lump sums distributed.

	2016-17
Maintained nursery schools	£1,109k

- 8.2 Based on modelled data, excluding lump sums, nursery schools will see an increase in their base hourly rates from £3.73 to £4.59 as outlined in the section above.
- 8.3 Once the increase in the hourly rate is taken into consideration and the supplementary funding is added, the Nursery Schools in total should receive broadly the same funding as their 2016-17 allocation.
- 8.4 It is recommended that all £836k of supplementary funding in 2017-18 is allocated to Maintained Nursery Schools for 2017-18.

The task and finish group agreed with this recommendation.

9.0 A BASE RATE FOR BRENT PROVIDERS FOR 2 YEAR OLD FUNDING

- 9.1 Brent was one of the authorities that took part in the pilot for providing early years funding for 2 year olds. The initial rate set in that pilot was £6 per hour.
- 9.2 Brent has continued to fund at a rate of £6 per hour. The DfE allocation is for £5.92 per hour. Based on numbers of 2 year olds in the January 2016 census, this represents a funding gap of approximately £49k. This excludes any central funding.
- 9.3 Since this is based on 2 year old numbers, an increase of 100 children taking up this offer equates to an increased funding requirement of £5k over and above the estimated £49k on modelled numbers.
- 9.4 It is recommended that Brent continues to fund at £6 per hour to maintain stability in this sector but notes the funding gap and agrees to fund the gap from DSG reserves for 2017-18.

The task and finish group agreed with this recommendation.

10.0 CENTRALLY RETAINED FUNDING BY THE LOCAL AUTHORITY

- 10.1 The DfE have mandated that 93% of the early years funding for 3 and 4 years olds is passed through to providers in 2017-18 and 95% in 2018-19 (the high pass through requirement).

3 and 4 year olds	2017-18	2018-19
Universal offer allocation	£16.9m	£16.4m
Additional hours allocation	£1.8m ⁴	£3.0m
Maximum percentage for central spend	7%	5%
Central spend cap	£1.3m	£0.97m

- 10.2 The central spend budget is dependent upon the number of 3 and 4 year olds who take up places in Brent. The take up of the additional hours is more difficult to forecast than the universal offer. A reduction of 100 children taking up this offer results in an increased pressure of £22k on this budget in 2017-18.
- 10.3 It is probable that 7% will cover the central spend for 2017/18 but going forward to 2018/19, the proposed 5% may not cover all services provided.

The central spend will support early years services such as:

The Early Years Quality Improvement team
 The Early Years Inclusion Support team
 The Early Years Sufficiency team
 The Early Years Learning and Development service

⁴ Only for Sept-17 to Mar-18

10.4 It is recommended that the maximum 7% of early years funding for 3 and 4 years olds is retained centrally by the local authority in 2017-18 and the maximum 5% is retained in 2018-19.

The task and finish group agreed with this recommendation.

11.0 EARLY YEARS FUNDING BASED ON DfE DRAFT ALLOCATIONS

11.1 The following table outlines a draft early years budget based on draft allocations from the DfE and the recommendations from this paper.

Expenditure	Disapplication not allowed	Disapplication allowed	Part of high pass through requirement
Distribute to providers for 3-4 year old universal offer	15,573	15,149	Yes
Distribute to providers for 3-4 year old children with working parents (additional 15 hours)	1,680	1,680	Yes
Distribute to providers for 3-4 year old children eligible for free school meals (additional 15 hours)	0	424	No
Supplementary funding distributed to maintained nursery schools	836	836	No
Contingency from additional 5p (£5.10 vs £5.15) to providers	171	171	Yes
Early Years Pupil Premium	108	108	No
Disability Access Fund	68	68	No
Distribute to providers for 2 year olds	3,661	3,661	No
Central spend	1,312	1,312	No
Total Expenditure	23,408	23,408	
Income			
Universal allocation for 3-4 year olds	16,911	16,911	Yes
Additional hours for 3-4 years from September 2017	1,824	1,824	Yes
Supplementary funding for maintained nursery schools	836	836	No
Disability Access Fund	68	68	No
Early Years Pupil Premium	108	108	No
Allocation for 2 year olds	3,612	3,612	No
Contribution from DSG reserve for 2 year old funding	49	49	No
Total Income	23,408	23,408	

12.0 STAFFING IMPLICATIONS

12.1 Based on modelling of children numbers, there should be sufficient funds to cover the administration of the funding, the Early Years Quality Improvement team and the Early Years Inclusion team for 2017-18. However there is currently a funding gap of approximately £0.3m for

2018-19 that will need to be addressed. This funding gap could reduce or increase dependent on the changes in the numbers of children recorded on the January census in 2017 and 2018.

13.0 FINANCIAL IMPLICATIONS

13.1 The financial implications have been detailed in the body of this paper.

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DRAFT



SCHOOLS FORUM

18 January 2017

Report from the Strategic Director of Children
and Young People

For Consultation

5. SCHOOL BUDGETS 2017/18

1.0 SUMMARY

- 1.1 This report sets out the 2017/18 funding allocations, and the schools block funding for schools based on the funding formula.

2.0 RECOMMENDATIONS

- 2.1 The Schools Forum is asked to confirm the schools block funding and centrally retained budgets for 2017/18, recommending them to the Council.

3.0 BACKGROUND

- 3.1 The DSG funding block allocations for 2017/18 were announced on the 20 December by the DfE. These include indicative allocations for the early years block, for which a separate report is being presented to schools forum.
- 3.2 The announcements of the schools block and high needs block for 2017/18 mean that the school funding formula can now be set as per the methodology agreed at the previous Schools Forum. The high needs block will be presented in detail at the February forum.
- 3.3 The schools block is confirmed at £231.28m. This is calculated at £5,522 per pupil multiplied by the 41,879 mainstream pupils recorded in the October 2016 census. This is an increase of 413 pupils on the October 2015 census. The high needs block is confirmed at £53.63m. This has been increased by c.£1m, with existing post 16 funding being brought into the block, plus an uplift of £0.9m due to population growth.
- 3.4 Excluding the early years block there is therefore £284.91m of funding to support the Local Schools Budget in 2017/18. Table 1 below sets out the 2016/17 DSG budgets at a summarised level against 2017/18 block movements and income allocations to show the increased funding available. The block movements are the result of early years high need budgets moving into the high needs block, the £1.0m reduction of the growth budget and the removal of £0.7m budget for unallocated DSG funding. This last item is in effect, being used to cover pressures in high needs in 2016/17.

3.5 **Table 1**

2016/17 Exp.	High Needs Block £m	Schools and Centrally Retained Block £m	Total £m
HN Block	51.5		51.5
Mainstream School Formula Funding		222.0	222.0
Central Items (including growth)		8.4	8.4
Total	51.5	230.4	281.9
2017/18 Block Movements and agreed budget reductions	1.1	-1.7	-0.6
Total	52.6	228.7	281.3
2017/18 Income	-53.6	-231.28	284.88
Funding Available	1	2.58	3.58

4.0 **SCHOOLS FUNDING AND CENTRALLY RETAINED BUDGETS**

4.1 The school funding formula for 2017/18 has been run with the updated pupil numbers and pupil data released in December 2016. If funding factor rates were left unchanged this would result in £223.5m being allocated to schools, which as forecast in the December forum paper, is £1.3m less than the total funding available and results in 37 schools remaining in receipt of the minimum funding guarantee (MFG).

4.2 Adjusting pupil factor funding rates proportionately, as agreed at the December forum, to allocate the funding available means the schools funding totals £224.8m. For clarity this is an increase on the 2016/17 formula of £2.58m. The funding factor adjustments are detailed below. The adjustments were calculated so as to leave the primary to secondary funding ratio unchanged at the national average of 1:1.29.

4.3 **Table 2 Pupil Funding Factor Rates**

Funding Factor	Primary £			Secondary £		
	2016/17	2017/18	Change	2016/17	2017/18	Change
AWPU (Primary, KS3/KS4)	3,332	3,366	34	4,892/5,399	4,945/5,458	54/59
IDACI 1 (now Band F)	342	346	4	363	367	4
IDACI 2 (now Band E)	347	351	4	385	389	4
IDACI 3 (now Band D)	354	358	4	477	483	5
IDACI 4 (now Band C)	585	591	6	636	643	7
IDACI 5 (now Band B)	890	899	9	977	988	11
IDACI 6 (now Band A)	1,255	1,268	13	1,387	1,402	15
LAC	1,025	1,036	11		-	-
EAL	1,255	1,268	13	1,406	1,421	15
Prior Att.	1,219	1,231	13	1,508	1,525	17

4.4 Appendix 1 provides the total allocation by school, together with a comparison to 2016/17 funding.

4.5 There are 39 schools that will see an increase in funding and 35 that will see a decrease. As expected, it is clear that where schools are experiencing a significant

drop or gain in funding this is due to changes in pupil numbers. There are 24 schools that remain in receipt of MFG.

- 4.6 In broad terms, the changes made to the funding formula for 2017/18 have been minimal allowing the increased funding due to additional pupils to be allocated to those schools that are growing.
- 4.7 The position of schools with reductions in funding will continue to be monitored, with particular regard to the context of the national funding formula proposals which are being consulted upon until 22 March 2017. The consultation is being examined by the task and finish group, and a report will be made to forum in February.
- 4.8 With the exception of the previously agreed reduction of £1m to the in-year growth budget, all centrally retained budgets remain unchanged from the previous year. These are detailed in Appendix 2.

5.0 TIMETABLE

- 5.1 The final Authority Pro-forma Tool (the schools funding formula) for 2017/18 will be submitted to the EFA for authorisation by the deadline of 20 January 2017.
- 5.2 The high needs funding for schools for 2017/18, will be consulted on at the February Schools Forum.
- 5.3 The proposed schools budget will be submitted to the council's Cabinet in February 2017 for political approval.
- 5.4 Schools will be notified of their indicative final funding (final schools block, final high needs block and indicative early years block) by the end of February 2017.

6.0 BACKGROUND PAPERS

1. Schools Revenue Funding 2017/18 – Operational Guide;
https://www.gov.uk/government/uploads/system/uploads/attachment_data/file/573282/Schools_revenue_funding_guide_Nov_2016_FINAL.pdf
2. School Funding Formula 2017/18 – December 2016;
<https://www.brent.gov.uk/media/16405954/schools-forum-7-dec-2016-all->

7.0 APPENDICES

- 7.1 **Appendix 1 2017/18 School Formula Funding Allocations**
- 7.2 **Appendix 2 2017/18 Centrally Retained Budgets**

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APPENDIX 1 - 2017/18 SCHOOL FORMUAL FUNDING

PUPIL NUMBER

SCHOOL NAME	2016/17 FUNDING	2017/18 FUNDING	CHANGE IN FUNDING	COMMENTARY	2016-17	2017-18	Variance
Anson Primary School	1,732,704	1,787,523	54,819 3.1%		362	363	1
ARK Franklin Primary Academy	2,784,629	2,694,243	(90,386) -3.4%	Reduction is due to reducing pupil numbers	585	569	(16)
Avigdor Hirsch Torah Temimah Primary School	879,374	886,113	6,739 0.8%		193	190	(3)
Barham JMI School	3,756,982	3,810,481	53,499 1.4%	Expanding school, with increasing pupil numbers	796.5	821.5	25
Braintcroft Primary School	3,328,559	3,274,446	(54,113) -1.7%	Reduction is due to reducing pupil numbers	672	650	(22)
Brentfield JMI School	3,060,634	3,095,162	34,528 1.1%		582.08	599	17
Byron Court Primary School	3,169,794	3,415,971	246,177 7.2%	Expanding school, with increasing pupil numbers	719	766	47
Carlton Vale Infants School	858,579	919,862	61,283 6.7%	School has gained 10 pupils	136	146	10
Chalkhill Primary School	2,375,665	2,368,866	(6,799) -0.3%		476	480	4
Christ Church Brondesbury CE P	1,013,485	989,835	(23,650) -2.4%		190	187	(3)
Convent of Jesus & Mary Infant	1,445,245	1,403,082	(42,163) -3.0%		266	264	(2)
Donnington Primary School	1,119,279	1,094,047	(25,233) -2.3%		209	206	(3)
Elsley Primary School	2,140,851	2,784,636	643,785 23.1%	Expanding school, with increasing pupil numbers	449	576	127
Floreat Colindale Primary School	-	184,855		Expanding school, with increasing pupil numbers		17.4	17
Fryent Primary School	3,311,552	3,344,084	32,532 1.0%		684	697	13
Furness Primary School	2,431,618	2,463,120	31,502 1.3%		484	498	14
Gladstone Park Primary School	2,847,882	2,877,935	30,052 1.0%		613	612	(1)
Harlesden Primary School	1,608,841	2,172,383	563,543 25.9%	Expanding school, with increasing pupil numbers	295	406	111
Islamia Primary School	2,095,406	2,153,123	57,717 2.7%		415	419	4
John Keble Church of England Primary School	2,065,373	1,967,085	(98,289) -5.0%	Reduction of 16 pupils	401	385	(16)
Kilburn Grange	505,775	810,849	305,074 37.6%	Expanding school, with increasing pupil numbers	84	117	33
Kilburn Park School Foundation	1,049,662	1,064,005	14,343 1.3%		189	195	6
Kingsbury Green School	2,882,366	2,849,320	(33,046) -1.2%		613	610	(3)
Leopold Primary School	3,599,502	4,040,860	441,358 10.9%	Expanding school, with increasing pupil numbers	732.5	779	47
Lyon Park Primary School	4,256,044	3,974,257	(281,787) -7.1%	School has reduced by 32 pupils and will now only receive one lump sum	897	865	(32)
Malorees Infant School	1,069,589	1,096,735	27,146 2.5%		203	205	2
Malorees Junior School (Foundation)	1,089,505	1,087,004	(2,501) -0.2%		234	224	(10)
Mitchell Brook Primary School	2,773,957	2,906,798	132,841 4.6%	Expanding school, with increasing pupil numbers	561.5	583.5	22
Mora Primary & Nursery School	2,046,091	2,046,007	(84) 0.0%		403	407	4
Mount Stewart Infant School	1,327,153	1,322,340	(4,812) -0.4%		270	270	0
Mount Stewart Junior School	1,631,752	1,659,131	27,379 1.7%		388	388	0
N.W. London Jewish Day School	1,161,310	1,135,468	(25,842) -2.3%		244	241	(3)
Newfield Primary School	2,257,673	2,029,087	(228,586) -11.3%	Reduction of 37 pupils	401	364	(37)
Northview JMI School	1,135,474	1,146,452	10,978 1.0%		209	208	(1)
Oakington Manor Primary School	3,077,547	3,022,650	(54,897) -1.8%	Small change in pupil number. Still in MFG	647	645	(2)
Oliver Goldsmith Primary	1,923,651	1,930,089	6,438 0.3%		417	416	(1)
Our Lady of Grace Catholic Infant School	1,020,940	1,013,252	(7,689) -0.8%		179	180	1
Our Lady of Grace Junior School	1,199,150	1,177,845	(21,305) -1.8%		239	235	(4)
Our Lady of Lourdes Catholic Primary School	1,138,966	1,123,075	(15,890) -1.4%		210	206	(4)
Park Lane JMI School	1,997,838	2,015,545	17,706 0.9%		420	419	(1)
Preston Park Primary School	3,498,660	3,584,599	85,939 2.4%	Expanding school, with increasing pupil numbers	776.5	797.5	21
Princess Frederica CE VA JMI Sch.	1,768,144	1,764,177	(3,967) -0.2%		405	402	(3)
Roe Green Infant School	2,790,563	2,775,242	(15,321) -0.6%		496	498	2
Roe Green Junior School	2,065,429	2,091,337	25,908 1.2%		478	474	(4)
Salisbury Primary School	2,834,566	2,828,314	(6,252) -0.2%		624	615	(9)
Sinai Jewish Primary School	2,446,015	2,449,498	3,483 0.1%		617	604	(13)
St Andrew and Francis CE Primary	1,996,343	2,020,494	24,150 1.2%		390	402	12
St Joseph's RC Infant School	1,123,659	1,117,701	(5,958) -0.5%		210	210	0
St Joseph's RC Primary School	2,402,085	2,285,070	(117,015) -5.1%	Reduction is due to reducing pupil numbers. Still in MFG.	496	477	(19)
St Margaret Clitherow Primary	1,146,515	1,132,449	(14,066) -1.2%		210	210	0
St Mary Magdalen's RC JM	1,631,393	1,673,633	42,239 2.5%		359	360	1
St Mary's Catholic Primary School	1,658,967	1,471,556	(187,412) -12.7%	Reduction of 42 pupils	331	289	(42)
St Mary's CE JMI School	1,526,200	1,511,223	(14,976) -1.0%		290	286	(4)
St Robert Southwell RC JMI	1,859,544	1,868,722	9,178 0.5%		412	417	5

SCHOOL NAME	2016/17 FUNDING	2017/18 FUNDING	CHANGE IN FUNDING	COMMENTARY	2016-17	2017-18	Variance
St. Joseph's Catholic Junior School	1,301,052	1,295,677	(5,375) -0.4%		280	279	(1)
Stonebridge JMI School	3,014,128	3,027,773	13,645 0.5%		587	599.5	13
Sudbury Primary School	3,699,796	3,625,525	(74,272) -2.0%	Reduction in pupil numbers. Still in MFG	839	832.83	(6)
Uxendon Manor Primary School	2,309,924	2,578,373	268,448 10.4%	Expanding school, with increasing pupil numbers	519	566	47
Wembley Primary School	3,773,197	3,773,883	686 0.0%		839	836	(3)
Wykeham Primary School	2,463,858	2,444,016	(19,842) -0.8%		511	509	(2)
Alperton Community School	7,044,558	7,123,491	78,933 1.1%		1088	1096	8
Ark Elvin Academy	5,844,337	5,584,266	(260,071) -4.7%	Reduction of 25 pupils	874	849	(25)
Capital City Academy	6,293,871	6,398,007	104,136 1.6%	School has gained 14 pupils	964	978	14
Claremont High School	7,332,877	7,312,585	(20,292) -0.3%	The school comes out of MFG	1271	1280	9
Convent of Jesus & Mary Language College	5,356,749	5,559,660	202,911 3.6%	The school has gained 21 pupils	845	866	21
JFS	8,083,653	8,169,068	85,415 1.0%	Reduction of 6 pupils	1486	1480	(6)
Kingsbury High School	9,955,231	9,988,159	32,928 0.3%		1602	1622	20
Michaela Community School	2,048,710	2,723,806	675,096 24.8%	Expanding school, with increasing pupil numbers	310	426	116
Newman Catholic College	4,179,601	3,738,197	(441,404) -11.8%	Reduction of 54 pupils	554	500	(54)
Queens Park Community School	6,303,673	6,345,266	41,593 0.7%		1032	1037	5
St. Gregory's Catholic Science College	5,359,428	5,307,563	(51,865) -1.0%	Still in MFG	889	891	2
The Crest Academies	6,258,342	6,528,128	269,786 4.1%	The school has gained 30 pupils	940	970	30
Ark Academy	7,307,152	7,289,221	(17,931) -0.2%		1305	1302	(3)
Preston Manor School	9,349,048	9,200,961	(148,087) -1.6%	Still in MFG	1662	1659	(3)
Wembley High Technology College	6,806,259	7,044,541	238,282 3.4%	Expanding school, with increasing pupil numbers	1216.5	1274	58

Primary	124,480,435	126,456,881	1,791,592		25,738	26,073	335
Secondary	74,061,030	74,778,195	717,165		11,855	11,995	140
All Through	23,462,460	23,534,723	72,263		4,184	4,235	52
Grand Total	222,003,925	224,769,800	2,581,020		41,777	42,303	527

Gain	39	36
Reduction	35	35

Appendix 2 - Centrally Retained Budgets 2017/18

S251	S251 Desc	£'000	Description
1.1.7	Licenses	195	Copyright licenses paid for centrally
1.4.1	Contribution to combined budgets	807	School Effectiveness Service
		88	Wembley Learning Zone
		58	Appropriate Body Service (NQTs)
		60	Gordon Brown Outdoor Education Centre
1.4.3	Servicing of Schools Forum	34	
1.4.4	Termination of employment costs	604	Historic commitments only
1.4.6	Capital Expenditure from revenue (CERA)	944	Historic commitments only
1.4.10	Pupil Growth and Infant Class Sizes	3,630	Reduced by £1m

6,420



SCHOOLS FORUM

18 January 2017

Report from the Chief Finance Officer

For Information

6: Budget Proposals 2017/18 and 2018/19

1.0 SUMMARY

- 1.1 Brent Council is currently consulting on budget proposals for 2017/18 and 2018/19. This report outlines the process and savings proposals for forum's consideration.

2.0 RECOMMENDATIONS

- 2.1 Schools Forum is asked to consider whether they wish to make a response to the council's budget consultation, and if so the content of that response. Schools Forum is also asked to consider whether there are any implications from proposed council budget reductions which warrant further discussion.

3.0 BACKGROUND

- 3.1 Since 2010 central government has pursued a programme of austerity, creating an ongoing financial challenge for the council. The successful "One Council" programme contributed substantially to the £89m of savings delivered by 2014/15. Further savings of £53.9m were required in 2015/16 and 2016/17 and were delivered by a more targeted approach by departments.
- 3.2 From 2016/17 the council was able to adopt a longer term approach to financial planning and so it has already agreed £24.7m of planned savings for 2017/18 and 2018/19. The budget proposals report presented to Cabinet on the 24 October 2016, estimates that a further £4.4m of savings will need to be made across 2017/18 and 2018/19. The Cabinet agreed to consult on new draft policy options, the detail of which is attached to this report as Appendix 1.
- 3.3 The previously agreed savings included 3 from the Children and Young People department totalling £0.8m. These are; transformation of the early help services, Signs of Safety efficiencies, and a saving from the creation of a regional adoption agency. The new savings proposals do not include any from the Children and Young People department, and are not thought to directly affect schools.

- 3.4 The full October report is available via the link under background papers. After consultation, a budget paper will be presented for Cabinet to recommend a final budget at the Council meeting on Monday 13 February 2017.

4.0 FINANCIAL IMPLICATIONS

- 4.1 The budget proposals relate to services in the Council's General Fund, and the financial implications of these are set out throughout the Cabinet report. There are no proposals concerning DSG funded services.

5.0 BACKGROUND PAPERS

- 5.1 Budget Proposals 2017-18 and 2018-19, Cabinet Monday 24th October
<http://democracy.brent.gov.uk/ieListDocuments.aspx?CId=455&MId=3214&Ver=4>

6.0 APPENDICES

- 6.1 **Appendix 1 – Detailed New Policy Options**

7.0 CONTACT OFFICERS

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Appendix Three:
Detailed new policy options

Budget Options Information

Reference:	1718BUD1
Service(s):	Public health: Sexual health transformation
Lead Member(s):	Cllr Hirani

Policy Proposals:	Through participation in the London Sexual Health Transformation Programme including the London wide procurement of a 'front door' to sexual health services and a joint procurement with Ealing and Harrow of an integrated local sexual health service savings are anticipated through a diversion of activity to lower cost settings
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Financial and Staffing Information

2016/17	
Total budget for the service(s) £'000:	5,616
Total post numbers in the services(s) (FTE):	0

	2017/18	2018/19
	£'000	£'000
Budget implications:	£250	£350
	FTE	FTE
Proposed staffing reduction	0	0

How would this affect users of this service?

Analysis of activity in current sexual health services and a waiting room survey indicates that not all current attendances at GUM clinics need that specialist service. Brent is participating in a London wide procurement of a new 'front door' to sexual health services. The front door into services will be web based, a single platform providing patients with information about sexual health, on line triage, signposting to the most appropriate service for their needs and the ability to order self-sampling tests.

Key milestones

Dec 2015 Cabinet:

Agreed continued participation in the collaboration with other London boroughs in the London Sexual Health Services Transformation Programme with the intention of

procuring genitourinary medicine (GUM services) and Contraception and Sexual Health Service (CaSH) in a new collaborative commissioning model.

Contract award Sub regional integrated service Dec 2016 (subject to confirmation of Ealing's timelines)

Contract award for services: Feb 2017

Contract start 1 April 2017

Key consultations

Engagement with service users and clinicians is ongoing through LSHTP

Key risks and mitigations

The Programme Steering Group maintain an active risk log and review mitigating actions. The most significant risks relate to

- the collaborative nature of the programme including a failure to agree service models, to align decision making and to agree collaboration agreements
- a failure to change patient and / or clinician behaviour and so not achieve the diversion of activity on which savings are based

Equality impact screening

Is there potential for the proposed policy to have a disproportionate adverse impact on any of the following groups:	
	Yes/No
Disabled people	No
Particular ethnic groups	No
Men or Women (include impacts due to pregnancy/maternity)	No
People of particular sexual orientation/s	No
People who are proposing to undergo, are undergoing or have undergone a process or part of a process of gender reassignment	No
People in particular age groups	No
Groups with particular faiths/beliefs	No
Marriage / civil partnership	No

If the screening has identified a potentially disproportionate adverse impact, you will need to complete an Equality Impact Assessment.

EIA required?:	Yes
EIA to be completed by:	Public health team
Deadline:	Dec 2016

Lead officer for this proposal:	Melanie Smith, DPH
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Budget Options Information

Reference:	1718BUD2
Service(s):	Adult Social Care
Lead Member(s):	Cllr Hirani

Policy Proposals:	Income generation – The introduction of a provisional charge for Community Care and Accommodation based care will generate revenue earlier in the process and avoid people not contributing to service due to non-compliance with the financial assessment process. This provisional charge removes the inherent delay in assessing a client after the actual care package has commenced.
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Financial and Staffing Information

2016/17	
Total budget for the service(s) £'000:	-7,038
Total post numbers in the services(s) (FTE):	0

	2017/18	2018/19
	£'000	£'000
Budget implications:	£250	0
	FTE	FTE
Proposed staffing reduction	0	0

Budget implications

Savings of £0.25m generated from collecting income earlier in the process.

How would this affect users of this service?

The impact on service users has been assessed through the production of an EIA, a full 30 day public consultation and the production and agreement of a cabinet report earlier this year. The impact is not significant as proposed charges should have been collected anyway, or if someone is not eligible to make a financial contribution, the charge will be re-paid to them. Feedback from the consultation process was mainly positive, with users expressing the view that a 'light touch' assessment process is positive and less intrusive, and expressing the view that the

Council collecting charges due to them is fair as long as there is a clear and consistent process for doing so.

Key milestones

Light touch assessments were implemented at the end of August 2016.

Key consultations

A 30 day public consultation was undertaken during June 2016.

Key risks and mitigations

Risk of actually collecting this income remains a problem in terms of debt recovery. Mitigation is to work closely with debt team to flag debt early.

Equality impact screening

Is there potential for the proposed policy to have a disproportionate adverse impact on any of the following groups:	
	Yes
Disabled people	Yes
Particular ethnic groups	No
Men or Women (include impacts due to pregnancy/maternity)	No
People of particular sexual orientation/s	No
People who are proposing to undergo, are undergoing or have undergone a process or part of a process of gender reassignment	No
People in particular age groups	Yes
Groups with particular faiths/beliefs	No
Marriage / civil partnership	No

If the screening has identified a potentially disproportionate adverse impact, you will need to complete an Equality Impact Assessment.

EIA required?:	Yes
EIA to be completed by:	EIA has already been completed
Deadline:	<i>Completed</i>

Lead officer for this proposal:	Helen Woodland
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Budget Options Information

Reference:	1718BUD3
Service(s):	Adult Social Care
Lead Member(s):	Cllr Hirani

Policy Proposals:	Direct Services – John Billam and New Millennium to become more inclusive services which bring in the community and additional income to make effective and efficient use of key assets.
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Financial and Staffing Information

2016/17	
Total budget for the service(s) £'000:	4,059
Total post numbers in the services(s) (FTE):	112

	2017/18	2018/19
	£'000	£'000
Budget implications:	300	
	FTE	FTE
Proposed staffing reduction	0	0

Budget implications

£0.3m achieved through more effective management of day centres, increasing income through additional use and reducing costs.

How would this affect users of this service?

Service users and families would still continue to receive their service, but it would be part of a wider and more inclusive use of the building.

Key milestones

Jan 17 – Building on previous work at New Millennium agree implementation plan
 Jan 17 – Consultation with service users, families, unions and staff
 March 17 – Implement changes to the service
 April 17 – Building on the lessons learnt at New Millennium, start co-production at John Billam to identify opportunities

June 17 – Agree implementation plan
 October 18 – implement new model of service

Key consultations

Extensive consultation required with users and carers in both day centres would be required however the service developed, and with Unions, staff and with potential providers

Key risks and mitigations

Risk that users and carers will oppose the changes to the service – mitigated through extensive and ongoing communication and engagement
 If the first risk becomes an issue, significant risk of adverse publicity and public protest – mitigated through extensive and ongoing communication and engagement
 Risk that the council cannot generate the additional income and efficiencies – mitigated through financial modelling and change management
 Risk that we will need to consider outsourcing as the way to drive the change.

Equality impact screening

Is there potential for the proposed policy to have a disproportionate adverse impact on any of the following groups:	
	Yes/No
Disabled people	Yes
Particular ethnic groups	No
Men or Women (include impacts due to pregnancy/maternity)	No
People of particular sexual orientation/s	No
People who are proposing to undergo, are undergoing or have undergone a process or part of a process of gender reassignment	No
People in particular age groups	No
Groups with particular faiths/beliefs	No
Marriage / civil partnership	No

If the screening has identified a potentially disproportionate adverse impact, you will need to complete an Equality Impact Assessment.

EIA required?:	Yes
EIA to be completed by:	Helen Woodland
Deadline:	

Lead officer for this proposal:	Helen Woodland
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Budget Options Information

Reference:	1718BUD4
Service(s):	Adult Social Care
Lead Member(s):	Cllr Hirani

Policy Proposals:	Extending NAIL provision for people in Nursing Care
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Financial and Staffing Information

2016/17	
Total budget for the service(s) £'000:	7,813
Total post numbers in the services(s) (FTE):	0

	2017/18	2018/19
	£'000	£'000
Budget implications:	100	200
	FTE	FTE
Proposed staffing reduction	0	0

Budget implications

Proposal to move lowest need (c.20%) of clients currently in nursing care to Supported Living which would deliver a £0.3m saving. This is based on an analysis of nursing home placements, which suggest there are a number of placements at the simpler end.

How would this affect users of this service?

Clients would need to agree to the move and some may find moving traumatic. Families and carers may also be averse to disrupting stable placements. Some users may prefer a less institutional environment and regain independence and skills lost through being in nursing care.

Key milestones

April 17 - Identification of lowest need nursing care clients
 April-June 17 – identification of potential alternative SL placements

April – June 17 – Reassessment of clients’ needs
 June – Ongoing – Discussion of reassessments with service users and families
 July – ongoing – planned moves of identified clients who agree to move

Key consultations

Consultation with individual service users and families will be a key part of this process, but no formal consultation is required.

Key risks and mitigations

Risk of adding to the already challenging target of identifying further NAIL units and ensuring the CCG support this in terms of Nursing care contributions. This will be mitigated through early identification of resource requirements to identify placements and facilitate moves (although this will have a cost implication). Risks around health input will be mitigated by early and ongoing communication with health colleagues.

Risk that moves are subject to user and family co-operation and choice. Risk will be mitigated through communication with families, carers and users.

Equality impact screening

Is there potential for the proposed policy to have a disproportionate adverse impact on any of the following groups:	
	Yes
Disabled people	No
Particular ethnic groups	No
Men or Women (include impacts due to pregnancy/maternity)	No
People of particular sexual orientation/s	No
People who are proposing to undergo, are undergoing or have undergone a process or part of a process of gender reassignment	No
People in particular age groups	Yes
Groups with particular faiths/beliefs	No
Marriage / civil partnership	No

If the screening has identified a potentially disproportionate adverse impact, you will need to complete an Equality Impact Assessment.

EIA required?:	Yes
EIA to be completed by:	Amy Jones
Deadline:	

Lead officer for this	Amy Jones
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proposal:	
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Budget Options Information

Reference:	1718BUD5
Service(s):	Adult Social Care
Lead Member(s):	Cllr Hirani

Policy Proposals:	Mental Health Service – Further development of the recovery pathway, focusing on supported living and supporting the move to general needs housing and independence.
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Financial and Staffing Information

2016/17	
Total budget for the service(s) £'000:	1,329
Total post numbers in the services(s) (FTE):	0

	2017/18	2018/19
	£'000	£'000
Budget implications:	500	0
	FTE	FTE
Proposed staffing reduction	0	0

Budget implications

£0.5m achieved through:

- enabling a more effective recovery pathway – better access to housing and employment will accelerate step down to general needs housing,
- Supported by ongoing negotiations with providers to manage costs and focus on the right support.

How would this affect users of this service?

This would support the delivery of the current objectives of the service, supporting people to move towards independence, and further efficiencies would be achieved through negotiations, which would not mean a change in service.

Key milestones

Ongoing process:

- Improving access to general needs housing
- Negotiation and provider development.

Key consultations

None required, but changes to accommodation will be part of the care plan, and the support provided and managed by CNWL

Key risks and mitigations

The significant housing pressures mean it is difficult to free up enough of the right kind of housing at the right price

Risk of being unable to achieve price reductions through negotiations. Risk mitigated through clear negotiation plan and strategy.

Equality impact screening

Is there potential for the proposed policy to have a disproportionate adverse impact on any of the following groups:	
	No
Disabled people	No
Particular ethnic groups	No
Men or Women (include impacts due to pregnancy/maternity)	No
People of particular sexual orientation/s	No
People who are proposing to undergo, are undergoing or have undergone a process or part of a process of gender reassignment	No
People in particular age groups	No
Groups with particular faiths/beliefs	No
Marriage / civil partnership	No

If the screening has identified a potentially disproportionate adverse impact, you will need to complete an Equality Impact Assessment.

EIA required?:	No
EIA to be completed by:	
Deadline:	

Lead officer for this proposal:	Helen Duncan-Turnbull
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Budget Options Information

Reference:	1718BUD6
Service(s):	Environmental Improvement
Lead Member(s):	Cllr Southwood
Policy Proposals:	£0.25m from the collection of bulky waste items

Financial and Staffing Information

2016/17	
Total budget for the service(s) £'000:	29,000
Total post numbers in the services(s) (FTE):	35

	2017/18	2018/19
	£'000	£'000
Budget implications:	250	0
	FTE	FTE
Proposed staffing reduction	0	0

Budget implications

The proposal is about introducing a differentiated charging scheme for the removal of bulky items, retaining some level of free service, so that:

- operating costs are recovered
- a popular service can be sustained
- waste disposal volumes are better controlled
- demand is better regulated
- waiting times are reduced; and
- monies received can be re-invested in the service

How would this affect users of this service?

Customers may notice altered operational arrangements and revised service terms and conditions. In some instances, service users would need to pay for the removal of bulky items or make alternative arrangements for disposal.

Key milestones

- Modelling of options – 2016
- Decision on preferred option – 2017
- Implementation of revised charges- 2017

Key consultations

No formal consultation is envisaged.

Key risks and mitigations

- The proposal is about introducing a differentiated charging scheme for the removal of bulky items, with some level of free service being retained. Monies would be re-invested to sustain the service and to improve the customer offer. A reshaped service would also better address the problem of illegal rubbish dumping across the borough.

Equality impact screening

Is there potential for the proposed policy to have a disproportionate adverse impact on any of the following groups:	
	Yes/No
Disabled people	Y
Particular ethnic groups	Y
Men or Women (include impacts due to pregnancy/maternity)	Y
People of particular sexual orientation/s	N
People who are proposing to undergo, are undergoing or have undergone a process or part of a process of gender reassignment	N
People in particular age groups	Y
Groups with particular faiths/beliefs	Y
Marriage / civil partnership	N

If the screening has identified a potentially disproportionate adverse impact, you will need to complete an Equality Impact Assessment.

EIA required?:	Yes
EIA to be completed by:	
Deadline:	

Lead officer for this	C Whyte
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proposal:	
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Budget Options Information

Reference:	1718BUD7
Service(s):	Regeneration
Lead Member(s):	Cllr Butt & Cllr Mashari

Policy Proposals:	Special Projects budget will be reviewed and efficiencies of £0.1m found.
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Financial and Staffing Information

2016/17	
Total budget for the service(s) £'000:	300
Total post numbers in the services(s) (FTE):	9

	2017/18	2018/19
	£'000	£'000
Budget implications: (Regeneration Only)	100	0
	FTE	FTE
Proposed staffing reduction	2	0

How would this affect users of this service?

There are no direct users of this service. The council will still need to resource new projects from time to time, but this will be done on a case by case basis rather than as part of an ongoing team.

Key milestones

Agree alternative funding if appropriate

Key consultations

Staff restructure

Key risks and mitigations

With the alternative funding in place the key risk will be around uncertainty. As the founding sources identified above are directly linked to development activity.

Equality impact screening

Is there potential for the proposed policy to have a disproportionate adverse impact on any of the following groups:	
	No
Disabled people	
Particular ethnic groups	
Men or Women (include impacts due to pregnancy/maternity)	
People of particular sexual orientation/s	
People who are proposing to undergo, are undergoing or have undergone a process or part of a process of gender reassignment	
People in particular age groups	
Groups with particular faiths/beliefs	
Marriage / civil partnership	

If the screening has identified a potentially disproportionate adverse impact, you will need to complete an Equality Impact Assessment.

EIA required?:	No
EIA to be completed by:	
Deadline:	

Lead officer for this proposal:	Amar Dave
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Budget Options Information

Reference:	1718BUD8
Service(s):	Regeneration and Environment
Lead Member(s):	

Policy Proposals:	Review of current staffing structure to reduce staffing costs in regulatory services
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Financial and Staffing Information

2016/17	
Total budget for the service(s) £'000:	2,341
Total post numbers in the services(s) (FTE):	78

	2017/18	2018/19
	£'000	£'000
Budget implications:	0	100
	FTE	FTE
Proposed staffing reduction	0	2

Budget implications

Within Regeneration a manager post will be deleted from Trading Standards – Note under the trading standards agreement with Harrow 50% of the saving from the post will need to be offered to Harrow. In Environment, an option is to delete an existing vacant post.

How would this affect users of this service?

This proposal should not have a direct impact on users of the service.

Key milestones

Key consultations

Staff

Key risks and mitigations

Harrow may not agree

Equality impact screening

Is there potential for the proposed policy to have a disproportionate adverse impact on any of the following groups:	
	Yes/No
Disabled people	N
Particular ethnic groups	N
Men or Women (include impacts due to pregnancy/maternity)	N
People of particular sexual orientation/s	N
People who are proposing to undergo, are undergoing or have undergone a process or part of a process of gender reassignment	N
People in particular age groups	N
Groups with particular faiths/beliefs	N
Marriage / civil partnership	N

If the screening has identified a potentially disproportionate adverse impact, you will need to complete an Equality Impact Assessment.

EIA required?:	No
EIA to be completed by:	
Deadline:	

Lead officer for this proposal:	Aktar Choudhury
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Budget Options Information

Reference:	1718BUD9
Service(s):	Parking and Lighting
Lead Member(s):	Cllr Southwood

Policy Proposals:	£1.0m from additional parking charges
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Financial and Staffing Information

2016/17	
Total budget for the service(s) £'000:	Income £19.9m
Total post numbers in the services(s) (FTE):	23

	2017/18	2018/19
	£'000	£'000
Budget implications:	0	1,000
	FTE	FTE
Proposed staffing reduction	0	0

Budget implications

This is an exercise to account for the parking pressures that are expected to arise from an increase in the borough's population. Regeneration and increased development may result in additional cars and increased parking pressures. This creates the need to provide parking restrictions that meet current and future demand, with the revenue paying for the service and any additional revenue being reinvested in the service. This exercise will consider residential parking permits and some car parking tariffs but will not include a review of visitor parking charges.

How would this affect users of this service?

Some parking charges may increase although visitor parking charges will not be considered.

Key milestones

- Preparation of new parking tariffs - 2017
- Consultation on new parking tariffs - 2017
- Decision to increase parking charges – 2017
- Implementation of revised parking charges - 2018

Key consultations

Consultation on increased parking charges – 2017

Key risks and mitigations

Any increase in parking tariffs may be unpopular initially. However, this will serve to mitigate parking congestion and will create more sustained environmental benefits. The revenue received will pay for the service, with any additional revenue being reinvested in the service.

Equality impact screening

Is there potential for the proposed policy to have a disproportionate adverse impact on any of the following groups:	
	Yes/No
Disabled people	Y
Particular ethnic groups	N
Men or Women (include impacts due to pregnancy/maternity)	N
People of particular sexual orientation/s	N
People who are proposing to undergo, are undergoing or have undergone a process or part of a process of gender reassignment	N
People in particular age groups	Y
Groups with particular faiths/beliefs	N
Marriage / civil partnership	N

If the screening has identified a potentially disproportionate adverse impact, you will need to complete an Equality Impact Assessment.

EIA required?:	Yes
EIA to be completed by:	
Deadline:	

Lead officer for this proposal:	C Whyte
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Budget Options Information

Reference:	1718BUD10
Service(s):	Environmental Improvement
Lead Member(s):	Cllr Southwood

Policy Proposals:	£900k from efficiencies in the Public Realm contract operation.
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Financial and Staffing Information

2016/17	
Total budget for the service(s) £'000:	29,000
Total post numbers in the services(s) (FTE):	35

	2017/18	2018/19
	£'000	£'000
Budget implications:	450	450
	FTE	FTE
Proposed staffing reduction	0	0

Budget implications

This proposal generates £900k from operational efficiencies within the Public Realm Contract. These will rationalise operational arrangements so they better manage and properly resolve hot spots and other persistent problems.

How would this affect users of this service?

Service users may see revised working practices and operational schedules.

Key milestones

Service review – 2016
Negotiation with Veolia 2016
Implementation of service changes – 2017-2018

Key consultations

No formal consultation envisaged.

Key risks and mitigations

Any change in operations may be noticeable to residents used to familiar and established working practices. However, these changes are specifically intended to improve environmental standards overall. They will ensure the most persistent and most noticeable problem areas are eliminated once and for all.

Equality impact screening

Is there potential for the proposed policy to have a disproportionate adverse impact on any of the following groups:	
	Yes/No
Disabled people	Y
Particular ethnic groups	Y
Men or Women (include impacts due to pregnancy/maternity)	Y
People of particular sexual orientation/s	N
People who are proposing to undergo, are undergoing or have undergone a process or part of a process of gender reassignment	N
People in particular age groups	Y
Groups with particular faiths/beliefs	Y
Marriage / civil partnership	N

If the screening has identified a potentially disproportionate adverse impact, you will need to complete an Equality Impact Assessment.

EIA required?:	Yes
EIA to be completed by:	
Deadline:	

Lead officer for this proposal:	C Whyte
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Budget Options Information

Reference:	1718BUD11
Service(s):	Parking & Lighting
Lead Member(s):	Cllr Southwood

Policy Proposals:	Maximise the potential of the soon-to-be operational Central Management System to maximise street lighting energy efficiencies.
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Financial and Staffing Information

2016/17	
Total budget for the service(s) £'000:	3,200
Total post numbers in the services(s) (FTE):	3

	2017/18	2018/19
	£'000	£'000
Budget implications:	0	100
	FTE	FTE
Proposed staffing reduction	0	0

Budget implications

The Central Management System for street lighting, which the council is currently procuring, provides the opportunity to review street lighting levels at a micro-level. Although deployment of the System will already be contributing to the agreed £0.75m p.a. saving expected from street lighting, it is considered that an additional £0.1m p.a. could be saved through a rigorous review of lighting levels at a highly localised level across the borough.

How would this affect users of this service?

Some users may notice marginally lower lighting levels than expected at certain locations. However any impact would not be sufficient to affect lighting levels required for road safety, or to meet expectations of community safety.

Key milestones

Procurement of CMS – 2016-17
 CMS fully operational – 2017
 Complete review and implement detailed Lighting Plan - 2018

Key consultations

N/A. Resident and visitor feedback on lighting levels could be acted on quickly.

Key risks and mitigations

Some users may notice marginally lower lighting levels than expected at certain locations. Should the lighting level not be acceptable at a specific location the CMS does allow corrective adjustments to be made rapidly.

Equality impact screening

Is there potential for the proposed policy to have a disproportionate adverse impact on any of the following groups:	
	Yes/No
Disabled people	N
Particular ethnic groups	N
Men or Women (include impacts due to pregnancy/maternity)	N
People of particular sexual orientation/s	N
People who are proposing to undergo, are undergoing or have undergone a process or part of a process of gender reassignment	N
People in particular age groups	N
Groups with particular faiths/beliefs	N
Marriage / civil partnership	N

If the screening has identified a potentially disproportionate adverse impact, you will need to complete an Equality Impact Assessment.

EIA required?:	No
EIA to be completed by:	
Deadline:	

Lead officer for this proposal:	C. Whyte, Operational Director, Environment
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